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Communications and Information

COMMANDERS GUIDANCE AND RESPONSIBILITIES

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This instruction implements Air Force Policy Directive (AFPD) 33-1, *Information Resources Management*, and AFPD 33-2, *Information Assurance (IA) Program*, and identifies commanders' responsibilities for supporting the management of the Air Force-provisioned portion of the Global Information Grid (AF-GIG) Enterprise Information Environment (EIE)/IT Infrastructure mission area to meet mission readiness and warfighting capabilities. It provides management procedures for commanders to ensure availability, interoperability, and maintainability of communications and information systems in support of mission readiness and warfighting capability. This instruction covers general guidance and responsibilities for effective and efficient management of systems throughout their life-cycle. This instruction applies to all commanders and identifies a commander's responsibilities for effective and efficient Information Resource Management (IRM). This instruction applies to all Air Force military, civilians, and contractor personnel under contract by the Department of Defense (DoD). This instruction applies to the Air National Guard (ANG) and the Air Force Reserve (AFRC). Direct questions or comments on the contents of this instruction, through appropriate command channels, to Headquarters Air Force Communications Agency (HQ AFCA/EASD), 203 W. Losey Street, Room 1100, Scott AFB IL 62225-5222. Send recommended changes and conflicts between this and other publications, using Air Force (AF) Form 847, *Recommendation for Change of Publication*, to HQ AFCA/EASD, with an information copy to the Office of the Secretary of the Air Force for Warfighting Integration and Chief Information Officer, Enterprise Networks Division (SAF/XCPP), 1800 Air Force Pentagon, Washington DC 20330-1800. Ensure that all records created as a result of processes prescribed in this publication are maintained in accordance with Air Force Manual (AFMAN) 33-363, *Management of Records*, and disposed of in accordance with Air Force Records Information Management System (AFRIMS) Records Disposition Schedule (RDS) located at <https://www.my.af.mil/gcss-af61a/afirms/afirms/>. See Attachment 1 for a glossary of references and supporting information.

SUMMARY OF CHANGES

This revision is a complete rewrite resulting from an initiative to reduce and simplify the SAF/XC departmental-level publications. SAF/XC is changing all their publications from “stove-piped” system/program-based to audience/role-based by consolidating like information from existing AFIs. The end result will simplify use and maintenance of SAF/XC's policy and procedures. This AFI will contain communication and information guidance and responsibilities for commanders, while other AFI's will address general users and implementers. The information contained in this publication was extracted from the publications identified in Attachment 3 and the system/program guidance and procedural information for the personnel supporting each program will remain in those publications. The contents within this AFI will be removed from existing AFI's upon publishing.

1. Introduction.

1.1. In an effort to meet the growing needs of today's warfighter, great strides are being made to improve the capabilities offered by the Air Force provisioned portion of the Global Information Grid (GIG). Today's warfighters are increasingly using these capabilities and have responsibilities for ensuring effective and efficient use and management of this resource. This increased reliance on warfighters is a result of the mainstreaming of technology and its integration into almost all activities of warfighting and support operations. This AFI identifies a commander's responsibilities for ensuring effective and efficient management of Information Technology (IT), Information Management (IM), and Information Assurance (IA). Compliance ensures appropriate personnel are assigned/appointed to accomplish identified duties.

2. Applicability.

2.1. This instruction applies to all commanders and identifies a commander's responsibilities for effective and efficient management of IT, Information management (IM), and IA. This does not apply to systems under the purview of the Director of National Intelligence (DNI) and Intelligence agencies.

3. Responsibilities.

3.1. Commanders or equivalent at all levels will maintain these responsibilities through the following programs:

3.1.1. Assign an organizational Information Assurance Officer (IAO) to execute IA responsibilities protecting and defending information systems by ensuring the availability, integrity, confidentiality, authentication, and non-repudiation of data through the application of IA measures outlined in paragraph 4. Additional (subordinate) IAO positions may be assigned for additional support at the discretion of organizations or based upon mission requirements; however, only one primary and one alternate IAO is required. Execute IAO responsibilities for the following programs:

3.1.1.1. Network and Computer Security Program as stated in paragraph 4.1.

3.1.1.2. Communications Security (COMSEC) Program as stated in paragraph 4.2.

3.1.1.3. Emissions Security (EMSEC) Program as stated in paragraph 4.3.

3.1.1.4. Identity Management Program as stated in paragraph 4.4.

3.1.1.5. IA Notice and Consent certification program as stated in paragraph 4.5.

3.1.2. Execute management responsibilities to improve the efficiency and effectiveness of Air Force activities and delivery of services through the proper employment of :

3.1.2.1. Mobile Satellite Services Program as stated in paragraph 5.1.

3.1.2.2. Frequency Management Program as stated in paragraph 5.2.

3.1.2.3. IT Asset Management Program as stated in paragraph 5.3.

- 3.1.2.4. Software Management Program as stated in paragraph 5.4.
- 3.1.2.5. Voice Services Program as stated in paragraph 5.5.
- 3.1.2.6. Messaging Services Program as stated in paragraph 5.6.
- 3.1.3. Execute IM responsibilities for management and control of all Air Force information resources under their purview as outlined in the:
 - 3.1.3.1. Records Management Program as stated in paragraph 6.1.
 - 3.1.3.2. Information Collection and Reports Management Program as stated in paragraph 6.2.
 - 3.1.3.3. Privacy Act Program as stated in paragraph 6.3.
 - 3.1.3.4. Section 508 of the Rehabilitation Act Compliance Program as stated in paragraph 6.4.
 - 3.1.3.5. Base Locator Services as stated in paragraph 6.5.
 - 3.1.3.6. Official Mail Program as stated in paragraph 6.6.
 - 3.1.3.7. Freedom of Information Act (FOIA) Program as stated in paragraph 6.7.
 - 3.1.3.8. Web management as stated in paragraph 6.8.

3.2. Major Commands (MAJCOMs) will:

- 3.2.1. Execute duties described in paragraph 3.1.
- 3.2.2. Designate in writing a Communications Systems Officer (CSO) and a single staff element for overall management of communications and information systems. The CSO provides technical advice to the commander (see Attachment 2 for detailed responsibilities).
- 3.2.3. Establish a MAJCOM IA Office to implement and oversee the MAJCOM IA Program, as stated in paragraph 3.

3.3. Installation Commander or equivalent will:

- 3.3.1. Execute duties described in paragraph 3.1.
- 3.3.2. Designate in writing the installation/host wing communications officer as the installation CSO. The installation CSO is responsible for meeting the communications and information mission needs of the entire installation, assigned tenant units, and geographically separated units (GSUs) not receiving support from another host wing, command, or Service (see Attachment 2).
- 3.3.3. Ensure CSO establishes a periodic (annually, at a minimum) wing-level planning forum to discuss current and future issues affecting the wing's communications and information infrastructure and various systems it supports.
- 3.3.4. Establish a Wing IA Office to implement and oversee the installation's IA Program, as stated in paragraph 3. The Wing IA office addresses all IA requirements on the installation, including those of tenant units (i.e., FOAs, DRUs, and other MAJCOM units) unless formal agreements indicates otherwise.

3.4. Unit Commanders or Tenant Units will:

- 3.4.1. Execute duties described in paragraph 3.1.
- 3.4.2. Appoint in writing a tenant CSO to serve as their single focal point and accountable officer for the communications and information systems of their respective activities. Define specific tenant and installation CSO responsibilities in the support agreement or similar document.
- 3.4.3. Coordinate with the installation CSO to ensure their systems will integrate and interoperate, when necessary, with the GIG, AF-GIG or host base systems.

4. Information Assurance Programs. Supports the principles of availability, integrity, confidentiality, authentication, and non-repudiation of information and information systems for the purpose of protecting and defending the operation and management of Air Force IT and National Security System (NSS) assets and operations.

4.1. Network and Computer Security (COMPUSEC) Program. Ensures Air Force information systems operate effectively by protecting and maintaining the confidentiality, integrity and availability of information system resources and information processed throughout the system's life cycle. For additional program information reference AFI 33-202, Volume 1, *Network and Computer Security* (will become part of AFI 33-200, *Information Assurance (IA) Management*).

4.1.1. Establish a MAJCOM IA Office to implement and oversee the MAJCOM Computer Security (COMPUSEC) Program.

4.1.2. Installation Wings will establish COMPUSEC in the Wing IA Office

4.1.3. Unit Commanders shall designate the organizational Information Assurance Officer (IAO) as the individual(s) responsible for COMPUSEC matters in the organization.

4.2. Communications Security (COMSEC) Program. The Air Force COMSEC program meets Public Law, national, and DoD requirements to secure or protect classified and sensitive information processed using Air Force information systems. For additional program information reference AFI 33-201, Volume 1, *Communications Security (COMSEC)* (will become part of AFI 33-200).

4.2.1. Establish the MAJCOM COMSEC program in the MAJCOM IA Office to implement and oversee MAJCOM COMSEC.

4.2.2. Installation Commanders will:

4.2.2.1. Manage the overall COMSEC posture of their installation through the Wing IA Office.

4.2.2.2. Appoint in writing one primary and at least one alternate COMSEC manager to oversee the wing COMSEC program. The Wing commander may delegate appointment authority to the unit commander of the supporting COMSEC account.

4.2.3. Supported Unit Commanders will:

4.2.3.1. Appoint in writing sufficient COMSEC Responsible Officers (CROs) or Secure Voice Responsible Officers (SVROs) and alternates to support their unit's COMSEC mission. Do not appoint a SVRO where there is a CRO unless CRO workload otherwise dictates.

4.2.3.2. Appoint in writing an investigating officer who determines results and recommends corrective actions for COMSEC deviations. Review incident reports and provide summary comments.

4.2.3.3. Unit commanders with COMSEC Maintenance Technicians will appoint in writing a COMSEC Equipment Certifier who certifies the DD Form 1435, *COMSEC Maintenance Training and Experience Record*, or automated product for certification of technicians following guidance in AFI 21-109, *Communications Security (COMSEC) Equipment Maintenance and Maintenance Training*.

4.3. Emission Security (EMSEC) Program. The objective of EMSEC is to contain compromising emanations within an inspectable space in order to deny access to classified and, in some instances, unclassified information. For additional program information reference AFI 33-203, Volume 1, *Emission Security (EMSEC)* (will become part of AFI 33-200 and AFSSI 7700, *Emission Security*).

4.3.1 MAJCOMs will establish an EMSEC program within the MAJCOM IA office.

4.3.2. Installation Wing will establish an EMSEC program in the host wing IA office.

4.3.3. Unit commanders designate the organizational IAO as the EMSEC representative to identify and work unit issues with host installation EMSEC representatives.

4.4. Identity Management Program. Identity Management program encompasses a set of capabilities including Public Key Infrastructure (PKI), Common Access Cards (CAC), and Biometrics. Currently the program focuses on PKI and one of its key enablers, the CAC. For additional program information reference AFI 33-202 Volume 6, *Identity Management* (will become part of AFI 33-200).

4.4.1. MAJCOMs will:

4.4.1.1. Ensure all eligible personnel receive their DoD PKI certificates on the CAC and use them to authenticate to the NIPRNET and public-key enabled websites and applications.

4.4.1.2. Establish a network of Local Registration Authorities (LRA) and Trusted Agents (TA) to support NIPRNET and SIPRNET software certificate requirements. These resources will provide user registration and certificate management support to include support to deployed, mobile, contingency, tactical, and AF supported COCOM users. LRAs and TAs will also provide device certificates on unclassified and classified networks and other than CAC-based certificate support on the NIPRNET.

4.4.1.3. Identify personnel to perform LRA and TA duties as required and coordinate with the AF PKI SPO for LRA training and specific guidance. Ensure LRAs and TAs are complying with the AF LRA Certificate Practice Statements and DoD X.509 Certificate Policy.

4.5. IA Notice and Consent Program. The Notice and Consent process ensures authorized users are provided with legally-sufficient notice that their use of these systems constitutes consent to monitoring for authorized purposes. For additional information about the process reference AFI 33-219, *Telecommunication Monitoring and Assessment Program* (will become part of AFI 33-200).

4.5.1. Commanders at all levels will:

4.5.1.1. Provide legally-sufficient notice to users stating all DoD telecommunications systems and information systems are subject to monitoring for authorized purposes and the use of these systems constitutes consent to monitoring.

4.5.1.2. Designate the organizational IAO as individual(s) responsible for ensuring compliance with the notice and consent requirements.

5. IT Management Programs. Established to ensure appropriate and effective use of IT resources, ensure their availability, and improve the efficiency and effectiveness of the Air Force consistent with IT commodity council policy and vision.

5.1. Mobile Satellite Services (MSS) Program. Provides the framework for acquiring, managing, and reporting the use of Mobile Satellite Services. For additional program information, reference AFI 33-134, *Mobile Satellite Services Management*.

5.1.1. MAJCOMs will:

5.1.1.1. Appoint in writing a MAJCOM Mobile Satellite Services (MSS) manager.

5.1.1.2. Send name, rank, Defense Switched Network (DSN) number, and e-mail address to Air Force MSS Lead Command, HQ AFCA/ES, within 15 days of appointment.

5.1.2. Supported units will appoint, in writing, a primary and alternate unit MSS manager.

5.2. Electromagnetic Spectrum Management Program. Sets the policy for managing electromagnetic spectrum supporting the Air Force mission. For additional program information, reference AFI 33-118, *Electromagnetic Spectrum Management* and AFMAN 33-120, *Electromagnetic Spectrum Management*.

5.2.1. MAJCOMs will maintain electromagnetic spectrum management function in accordance with AFI 33-118.

5.2.2. Installation Commanders are responsible for all electromagnetic radiation emanating from the installation and from those outlying activities hosted by the installation. Installation Commanders ensure a viable Radio Frequency (RF) management program is in place and supports installation requirements. The installation commander will prohibit any on-installation RF emitter from operating (cease and desist) when anticipating or resolving interference to mission essential electromagnetic equipment. Resolve interference IAW AFI 10-707, *Spectrum Interference Resolution Program*.

5.3. IT Asset Management (ITAM) Program. Identifies responsibilities for supporting AF IT equipment. For additional program information, reference AFI 33-112, *Information Technology Hardware Asset Management*.

5.3.1. MAJCOMs will:

5.3.1.1. Appoint in writing a MAJCOM Equipment Control Officer (MECO) to oversee and implement the IT Asset Management Program for the Command unless under HQ AFCA consolidated MECO construct as described in AFI 33-112, *Information Technology Hardware Asset Management*.

5.3.1.2. Review their MAJCOM-unique systems at least once every three years to ensure the continued need for the system.

5.3.1.2.1. Review system requirements and involve the MAJCOM IA office in review of any application interfacing with NIPRNET or SIPRNET.

5.3.1.2.2. Determine if the operation and maintenance costs warrant continued operation.

5.3.2. Unit Commanders or equivalent will:

5.3.2.1 Appoint in writing primary and alternate Equipment Custodian(s) (ECs) IAW AFI 33-112.

5.3.2.2. Provide guidance and procedures to ensure adequate protection and oversight is afforded to IT and National Security System (NSS) assets under their control. As the responsible officer, commanders are charged with custody, care, and safekeeping over all IT and NSS assets within their organization.

5.3.2.3. Budget for maintenance of computer systems that are not the responsibility of the installation CSO.

5.4. Software Management Program. Identifies the responsibilities associated with planning, developing, using, maintaining, or supporting computer software to effectively and efficiently complete assigned missions. It applies to Air Force-procured COTS software and software developed for unique Air Force purposes (other than software internal to a weapon system; see AFPD 63-1, *Capability-Based Acquisition System*). For additional program information, reference AFI 33-114, *Software Management*.

5.4.1. All MAJCOM/DRU/FOA CSOs will:

5.4.1.1. Conduct and document an annual inventory of software licenses as required by Executive Order (EO) 13103, Computer Software Piracy.

5.4.1.2. Establish a process to track their unique software licenses.

5.4.1.3. Develop performance measurements and metrics for software license requirements as required by EO 13103.

5.4.2. Installation and Unit Commanders or equivalent will:

5.4.2.1. Contact the responsible functional or program management office before purchasing software licenses to determine if COTS software licenses are available, or if there is a standard product to buy. Reference path on AF portal: Air Force\Enterprise IT Initiatives\Enterprise COTS Software Agreements.

5.4.2.2. Coordinate with the Air Force Materiel Command (AFMC) designated product center to obtain volume pricing for products available through the DoD Enterprise Software Initiative (ESI). The Defense Federal Acquisition Regulation (DFAR) Supplement, Part 208, Required Sources of Supplies and Service, Subpart 208.74, Enterprise Software Agreements (ESA), requires purchasers to first consider DoD Enterprise Software Agreements found at <https://www.esi.mil>.

5.4.2.3. Coordinate with the installation CSO or servicing Network Control Center (NCC) before acquiring, developing, or implementing any software and comply with all IA program requirements in accordance with AFI 33-202, Volume 1, *Network and Computer Security* (will become part of AFI 33-200, *Information Assurance (IA) Management*).

5.4.2.4. Prepare annual inventories of the software present on its computers in accordance with AFI 33-114.

5.5. Voice Services Program. Identifies the policy and responsibilities associated with planning, developing, using, maintaining, or supporting voice services to effectively and efficiently complete assigned missions. For additional program information, reference AFI 33-111, *Voice Systems Management*.

5.5.1. Installation Commanders will establish local guidance for handling incoming official collect telephone calls.

5.5.2. When the host base does not require flat rate long distance telephone service, such a service is a special requirement and the base tenant organization must pay for it.

5.5.3. Unit Commanders and Tenant Units will:

5.5.3.1. Appoint in writing Primary and Alternate Telephone Control Officers (TCO) to the installation CSO. The unit's TCO is the individual who authorizes and controls long distance toll calls, DSN access authorization, and acts as the focal point for reviewing all unit communications requirements and requests for service before submitting them to the communications squadron.

5.5.3.2. Tenant units shall not procure commercial voice services and equipment without approval of the host installation CSO. Exceptions to this guidance must be clearly documented in the Host Tenant Support Agreement or similar document.

5.6. Messaging Services Program. Provides procedures and assigns responsibilities for establishing and managing MAJCOM Message Service Centers (MMSC). For additional program information, reference AFI 33-113, *Managing Air Force Messaging Centers*.

5.6.1. Installation Commanders will:

5.6.1.1. Appoint in writing sub-registration authority and mail list/address list cognizant authorities for message services as needed.

5.6.1.2. Ensure local control center and base distribution point accounts are established.

5.6.1.3. Appoint in writing a Base Distribution Point account manager.

5.6.2. Unit Commanders and Tenant Units will:

5.6.2.1. Establish an alternate delivery point for Urgent (High Precedence) messages when organizational accounts are not manned on a 24-hour, 7-day a week basis (24/7).

5.6.2.2. Provide an after-hours notification personnel listing to the alternate delivery POC.

5.6.2.3. Designate release authority, as necessary.

6. Information Management Programs. Establish policy and responsibilities for the representation of, access to, and maintenance, management, analysis, distribution, and integration of "information assets" (all forms of data and content) across Air Force information sources. Ensures the ability to discover, access, store, protect, share, and exploit mission-critical information regardless of its physical location, media, source, owner, or other defining characteristics.

6.1. Records Management Program. Establishes policy and assigns responsibilities for life-cycle management (e.g., creation, maintenance, use, and disposition) of information as records in all media through the Air Force Records Management Program. The Air Force requires an organized network of Record Managers (RM) at MAJCOMs, DRUs, FOAs, and installations for managing the program and ensuring compliance at all levels. For additional program information reference AFI 33-322, *Records Management Program*.

6.1.1. MAJCOM (Commander or senior communications and information official) will appoint a Command Records Manager (CRM). Upon appointment or subsequent change of CRM, notify SAF/XCPP of the name, grade or rank, telephone number, office symbol, and organizational electronic mail address of the appointee.

6.1.2. DRU (commander or senior communications and information official) will appoint a Records Manager (RM). CRM responsibility applies to Air Force DRUs and Air National Guard for the purposes of executing the Air Force Records Management Program. Note: CRM responsibilities are performed by the 11th Wing for offices of record in the Secretariat, HQ USAF, and at Bolling AFB DC.

6.1.3. FOA Commanders or senior communications and information official must appoint an Agency Records Manager (ARM).

6.1.4. Installation Commanders or senior communications and information official will appoint an installation RM and notify the appropriate CRM, providing the same information as in paragraph 5.1.1. At levels below MAJCOM, where there is a communications squadron, the RM is the Chief of the Office of Records (COR) function.

6.1.5. Unit commanders will appoint one or more Functional Area Records Managers (FARM). One or more FARMS may be required based on the span of control, the complexities of the mission, and the size of the organization's functional areas. Additionally, they will ensure COR responsibilities are assigned.

6.1.6. Unit commanders will work with their Wing/Unit RM as necessary to support the program.

6.2. Information Collection and Reports Management Program. Establishes procedures for information collecting and reporting of internal, public, and interagency requirements. For additional program information, reference AFI 33-324, *The Information Collections and Reports Management Program; Controlling Internal, Public, and Interagency Air Force Information Collections* (will become part of AFI 33-394, *Knowledge, Information and Data Management*).

6.2.1. Each HQ USAF and SAF functional organization, MAJCOM, DRU, and FOA will appoint in writing an Information Collections and Reports (ICR) Manager and send the Air Force Information Management Control Officer (SAF/XCPP) the name, functional address symbol, e-mail address, and telephone number when a new ICR Manager is assigned.

6.3. Privacy Act Program. Implements the Privacy Act of 1974 and applies to records on living US citizens and permanent resident aliens that are retrieved by name or personal identifier. The program provides guidance on collecting and disseminating personal information in general. For additional program information reference AFI 33-332, *Privacy Act Program*.

6.3.1. MAJCOM, FOA, and DRU commanders will appoint in writing a command Privacy Act officer, and send the name, office symbol, phone number, and e-mail address to SAF/XCPP.

6.3.1.1. Command Privacy Act Officers may authorize appointment of unit Privacy Act monitors to assist with implementation of the program.

6.3.2. Installation Commanders appoint installation Privacy Act Officers in writing to the command Privacy Act Officer NLT 60 days prior to incumbent installation Privacy Act Officer's date of departure/separation, to allow time for proper training and turnover.

6.4. Section 508 of the Rehabilitation Act Compliance Program. Establishes the Air Force corporate approach to Section 508 implementation and follows the guidelines established by the Office of the Secretary of Defense (OSD) of "centralized management with decentralized execution." This approach provides a corporate strategy addressing all legal requirements and provides maximum access to Electronic and Information Technology (E&IT) while maintaining full mission focus and capabilities and giving people with disabilities the opportunity to maximize their contribution to the success of the Air Force mission. For additional program information, reference AFI 33-393, *Electronic and Information Technology Accessible to Individuals with Disabilities, Section 508*.

6.4.1. MAJCOM, FOA, and DRU commanders will identify a unit to support the Section 508 Program and provide Section 508 training for personnel within their units who are involved in the Section 508 process.

6.4.2. Installation Level Section 508 support organizations will execute Section 508 compliance guidance distributed by the Section 508 Management Office and posted at https://www.cio.hq.af.mil/private/private_section_508.shtml.

6.5. Base Locator Services. Installation and organization personnel locator services are defined in AFI 33-329, *Base and Unit Personnel Locators*.

6.5.1. Installation commanders will determine if their base will provide locator services and, if provided, the scope of service (none, limited, or full), category of release (official, personal, or both), and hours of availability. Commanders determine whether to add civilian and/or contractor personnel to the base locator, select the designated base locator service provider, and establish procedures for base locator use.

6.5.2. Unit commanders will decide if a personnel locator is required for their units and if so, consider whether the base locator meets the locator requirement. If a separate unit locator is required, organizational commanders determine the scope of service, category of release, and hours of availability. Commanders determine whether to include civilian and/or contractor personnel in the unit locator and who provides unit locator services. They also establish procedures for unit locator use.

6.6. Official Mail Program. Establishes postal and official mail/distribution operational guidance and policy across the enterprise to ensure the Air Force can support in-garrison and Aerospace Expeditionary Force requirements. Prescribes how the Air Force operates the Military Postal Service (MPS) as an extension of the US Postal Service, consistent with public law and federal regulations. For additional program information reference DoDM 4525.8/AFMAN 33-306, *DOD Official Mail Manual*.

6.6.1. Commanders, staff agency chiefs, deputies, executive officers, or Activity Distribution Office (ADO) directors will appoint assigned individuals to receive and dispatch communications as directed in DoDM 4525.8/AFMAN 33-306, *DOD Official Mail Manual*. The appointing official is responsible to ensure security clearances are verified by the applicable security manager.

6.6.2. Installation commanders must provide facilities, equipment, vehicles, resources, and services for efficient Official Mail Center operation.

6.7. Freedom of Information Act (FOIA) Program. Prescribes how the Air Force makes information on operation and activities publicly available in accordance with DODD 5400.7, *DoD Freedom of Information Act Program* requirements. For additional program information, reference DoD 5400.7-R/Air Force Supplement, *DoD Freedom Of Information Act Program*.

6.7.1. Installation commanders will establish a FOIA electronic reading room in accordance with DoD 5400.7-R AFSUP.

6.7.2. MAJCOM, FOA, and DRU commanders will support this program by appointing a FOIA manager in writing and implementing the instructions contained DoD 5400.7-R AFSUP.

6.8. Web Management. Provides policy and procedural guidance with respect to establishing, operating, and maintaining web sites in the Air Force. For additional program information reference AFI 33-129, *Web Management And Internet Use*.

6.8.1. Commanders at all levels are responsible for the content of the information posted on their public and private web pages/sites. Commanders will:

6.8.1.1. Initiate and sign/approve appointment letters for their web server administrators, web masters, and web page maintainers.

6.8.1.2. Ensure their web server administrators, web masters, web page maintainers, and information providers receive training in accordance with AFI 33-129, *Web Management and Internet Use*.

7. Information Collection, Records, and Forms

7.1. Information Collections. No information collections are accomplished by this publication.

7.2. Records. The program records created as a result of the processes prescribed in this publication are maintained in accordance with AFMAN 33-363 and disposed of in accordance with the AFRIMS RDS located at <https://www.my.af.mil/gcss-af61a/afrims/afrims/>.

7.3. Forms (Adopted and Prescribed).

7.3.1. Adopted Forms. AF Form 673, *Air Force Publication/Form Action Request*, AF Form 847, *Recommendation for Change of Publication*, and DD Form 1435, *COMSEC Maintenance Training and Experience Record*.

7.3.2. Prescribed Forms. No forms are prescribed by this publication.

MICHAEL W. PETERSON, Lt Gen, USAF
Chief of Warfighting Integration and
Chief Information Officer

Attachment 1

GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION

References

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AFI 33-134, *Mobile Satellite Services Management*, 10 February 2005
AFI 33-137, *Ports, Protocols, and Services (PPS) Management*, 31 January 2006 (will become AFSSI 8551)

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AFI 33-202, Volume 6, *Identity Management*, 23 May 2005 (will become AFSSI 8520)
AFI 33-219, *Telecommunications Monitoring and Assessment Program (TMAP)*, 1 May 2006
AFI 33-277, *Fortezza Operational Security*, 18 March 2004
AFI 33-320, *Federal Register*, 15 May 2002
AFI 33-322, *Records Management Program*, 7 October 2003
AFI 33-324, *The Information Collections and Reports Management Program; Controlling Internal, Public, and Interagency Air Force Information Collections*, 1 June 2000
AFI 33-329, *Base and Unit Personnel Locators*, 23 August 2006
AFI 33-332, *Air Force Privacy Act Program*, 29 January 2004
AFI 33-393, *Electronic and Information Technology Accessible to Individuals with Disabilities, Section 508*, 9 January 2007
AFI 33-401, *Implementing Air Force Architecture*, 14 March 2007
AFMAN 33-363, *Management of Records*, 1 March 2008
AFI 90-301, *Inspector General Complaints*, 8 February 2005
AFSSI 8520, *Identification and Authentication*
AFRIMS RDS, <https://www.my.af.mil/gcss-af61a/afrims/afrims/>
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Abbreviations and Acronyms

24/7-All day/everyday
ADO-Activity Distribution Office
AF-Air Force
AFB-Air Force Base
AFCA-Air Force Communications Agency
AFI-Air Force Instruction
AFMAN-Air Force Manual
AFMC-Air Force Materiel Command
AFNETOPS-Air Force Network Operations
AFPD-Air Force Policy Directive
AFRC-Air Force Reserve Command
AFRIMS-Air Force Records Information Management System
AFSPC-Air Force Space Command
AIM -Asset Inventory Management
ANG-Air National Guard
ARM-Agency Records Manager
CAC-Common Access Card
C-E-Communications Electronics
CIPS-C4ISR Infrastructure Planning System
CIO-Chief Information Officer
COMPUSEC-Computer Security
COMSEC-Communications Security
COR-Chief of Office of Records

CRM-Command Records Manager
CRO-COMSEC Responsibility Officer
CSIR-Communications and Information Systems Installation Record
CSA-Client Support Administrator
CSO-Communications and Information Systems Officer
DAA-Designated Accrediting Authority
DFAR-Defense Federal Acquisition Regulation
DoD-Department of Defense
DoDI-Department of Defense Instruction
DoDD-Department of Defense Directive
DoDM-Department of Defense Manual
DRU-Direct Reporting Unit
DSN-Defense Switched Network
EC-Equipment Custodian.
E&IT-Electronic and Information Technology
EIE-Enterprise Information Environment
EIG-Engineering and Installation Group
EMSEC-Emission Security
ESI-Enterprise Software Initiative
EO-Executive Order
FAM-Functional Area Manager
FARM-Functional Area Records Manager
FOA-Field Operating Agency
FOIA-Freedom Of Information Act
GIG-Global Information Grid
GSU-Geographically Separated Unit
IA-Information Assurance
IAO-Information Assurance Officer
ICR-Information Collections and Reports
I-NOSC-Integrated Network Operations and Security Center
IM-Information Management
IRM-Information Resource Management
ISM-Installation Spectrum Manager
IT-Information Technology
I-TRM-Infostructure - Technology Reference Model
ISSO-Information System Security Officer
JP-Joint Publication
KOM-Knowledge Operations Management
LRA-Local Registration Authority
MAJCOM-Major Command
MECO-MAJCOM Equipment Control Officer
MMSC-MAJCOM Message Service Centers
MPS-Military Postal Service
MPTO-Methods and Procedures Technical Order
MSS-Mobile Satellite Services
NCC-Network Control Center

NIPRNET-Non-Secure IP Router Network
NSS-National Security System
OSD-Office of the Secretary of Defense
PA-Privacy Act
PKI-Public Key Infrastructure
POC-Point of Contact
PPS-Ports, Protocols, and Services
RDS-Records Disposition Schedule
RF-Radio Frequency
RM-Records Manager
SAF-Secretary of the Air Force (organization)
SAP-Systems Applications and Products
SCI-Sensitive Compartmented Information
SECAF-Secretary of the Air Force (individual)
SIPRNET-Secure IP Router Network
SPO-Systems Program Office
STEM-Systems Telecommunications Engineering Manager
STEM-B-STEM-Base Level
STEM-C-STEM-Command Level
STEM-D-STEM-Deployability
STEM-E-STEM-Engineering
STEM-IM-STEM-Implementation Management
STEM-J-STEM-Joint
STEM-TM-STEM-Telecommunications Manager
TA-Trusted Agent
TCO-Telephone Control Officer
TMAP-Telecommunications Monitoring and Assessment Program

Terms

Accountable Officer--An individual appointed by proper authority who maintains item records and/or financial records in connection with Government property, irrespective of whether the property is in his or her possession for use or storage, or is in the possession of others to whom it has been officially entrusted for use or for care and safekeeping.

Air Force Functional Manager--The HQ USAF activity within a specific directorate responsible for providing management oversight of the assigned function and its associated communications and information systems.

Automated Information System (AIS)--A combination of information, computer, and telecommunications resources and other information technology and personnel resources that collect, record, process, store, communicate, retrieve, and display information.

Communications and Information System--An integrated combination of doctrine, procedures, organizational structures, personnel, equipment, C-E equipment and systems, facilities, and communications designed to support a commander's exercise of command and control through all operational phases. It includes base visual information support systems.

Communications and Information Systems Blueprint--Document that provides the requirements engineering plan to modernize the installation level infrastructure with cost-effective, installation wide capability to support digital transmission of voice, data, video, imagery, and telemetry needs. It documents the baseline, identifies a target base configuration to support present and future requirements, and provides a time-phased plan and estimated costs for logical transition.

Communications and Information Systems Officer (CSO)--The designated official who has overall responsibility for communications and information support at any given level of the Air Force (base, tenant, MAJCOM, USAF, etc.). At base level, this is the commander of the communications unit responsible for carrying out base communications and information systems responsibilities. At MAJCOM and other activities responsible for large quantities of communications and information systems, it is the person designated by the commander as responsible for overall management of communications and information systems budgeted and funded by the MAJCOM or activity. The CSO function uses the office symbol "SC" and expands it to three and four letters to identify specific functional areas. CSOs are the accountable officer for all automated data processing equipment in their inventory.

Comprehensive Plan--The combination of the general plan, component plans, special plans and studies, and maps that document a wide range of information necessary for decision making. It encompasses those specific resource documents and processes determined to be essential for planning and managing an installation's physical assets in support of the mission. The comprehensive plan is the all-encompassing description of the products, whereas comprehensive planning is the action associated with the process and implementation.

Comprehensive Planning--The ongoing civil engineering process--iterative, participatory process addressing the full range of issues affecting or affected by an installation's development. Through this process, goals and objectives are defined, issues are identified, information is gathered, alternative solutions are developed, and a sound decision-making process is employed to select a preferred alternative for implementation. It incorporates Air Force programs such as operational, environmental, urban planning, and others, to identify and assess development alternatives and ensure compliance with applicable federal, state, and local laws; regulations; and policies.

Configuration Management--1. In computer modeling and simulation, a discipline of applying technical and administrative oversight and control to identify and document the functional requirements and capabilities of a model or simulation and its supporting databases, control changes to those capabilities, and document and report the changes. (Joint Publication [JP] 1-02, *Department of Defense Dictionary of Military and Associated Terms*, 23 March 1994) 2. A discipline applying technical and administrative direction and surveillance to: (a) identify and document the functional and physical characteristics of a C4 system; (b) to control changes of those characteristics; and (c) record and report changes to processing and implementation status.

Enterprise Information Environment (EIE)--The common, integrated information computing and communications environment of the GIG. The EIE is composed of GIG assets that operate as, provide transport for, and/or assure local area networks, campus area networks, tactical operational and strategic networks, metropolitan area networks, and wide area networks. The EIE includes computing infrastructure for the automatic acquisition, storage, manipulation, management, control, and display of data or information, with a primary emphasis on DoD enterprise hardware, software operating systems,

and hardware/software support that enable the GIG enterprise. The EIE also includes a common set of enterprise services, called Core Enterprise Services, that provide awareness of, access to, and delivery of information on the GIG. (DODD 8115.1, *Information Technology Portfolio Management*)

Global Information Grid (GIG)--The globally interconnected, end-to-end set of information capabilities, associated processes, and personnel for collecting, processing, storing, disseminating and managing information on demand to warfighters, policy makers, and support personnel. The GIG includes all owned and leased communications and computing systems and services, software (including applications), data, security services, and other associated services necessary to achieve Information Superiority. It also includes National Security Systems as defined in section 5142 of the Clinger-Cohen Act of 1996 (see references). The GIG supports all Department of Defense, National Security, and related Intelligence Community missions and functions (strategic, operational, tactical, and business), in war and in peace. The GIG provides capabilities from all operating locations (bases, posts, camps, stations, facilities, mobile platforms, and deployed sites). The GIG provides interfaces to coalition, allied, and non-DOD users and systems. (AFPD 33-4, *Enterprise Architecting*).

Information Resource Management (IRM)-- The process of managing information resources to accomplish agency missions and to improve agency performance. This includes reduction of information collection burdens on the public. (AFPD 33-1, *Information Resource Management*)

Information System-- A discrete set of information resources organized for collection, storage, processing, maintenance, use, sharing, dissemination, disposition, display, or transmission of information. Includes automated information system (AIS) applications, enclaves, outsourced IT-based processes, and platform IT interconnections. (AFPD 33-1, *Information Resource Management*)

Information Technology--Any equipment or interconnected system or subsystem of equipment used in the automatic acquisition, storage, manipulation, management, movement, control, display, switching, interchange, transmission, or reception of data or information. This includes equipment used by the executive agency directly or used by a contractor under a contract with the executive agency that (i) requires the use of such equipment, or (ii) requires the use, to a significant extent, of such equipment in the performance of a service or the furnishing of a product. The term IT includes computer, ancillary equipment, software, firmware, and similar procedures, services (including support services), and related resources. Notwithstanding the preceding, the term "IT does not include any equipment that is required by a federal contractor incident to a federal contract. The term IT includes National Security Systems (NSS), and is synonymous with the term "information system" (IS). (AFPD 33-1, *Information Resource Management*)

Interoperability--1. The ability to operate in synergy in the execution of assigned tasks. 2. The condition achieved among communications-electronics systems or items of communications-electronics equipment when information or services can be exchanged directly and satisfactorily between them and/or their users. The degree of interoperability should be defined when referring to specific cases. (JP 1-02, *Department of Defense Dictionary of Military and Associated Terms*)

Knowledge Operations Management--Encompasses communications and data, information and knowledge management tasks and functions. Included are planning, coordinating, managing, sharing, and controlling organization's data assets; conducting information analyses to determine proper flow

and life-cycle management of information, regardless of medium; operating information systems to create, collect, process, disseminate, use, store, protect, and dispose of information; electronic and manual publications, and forms development, design, control, storage, and dissemination; management of official records, including manual and automated record management systems and operation of records staging, publishing and managing content through automated publishing tools; managing Privacy Act (PA) and Freedom of Information Act (FOIA) procedures; operating of Base Information Transfer System and Official Mail Center; serving as consultant/liaison for overall data, information, and knowledge planning and integration; using and managing technologies to capture, organize, and store activities/experiences; and client support administration, including management of computer hardware and software; installation and configuration of software operating systems and office automation applications, and configuration, management and initial diagnostics of information systems.

Lead Command--The MAJCOM or FOA assigned as systems advocate and oversight authority for communications and information systems used by more than one command. Specific responsibilities of the lead command are in AFI 10-901, *Lead Operating Command—Communications and Information Systems Management*.

Modification--A temporary or permanent change to a system that is still being produced. The purpose of the modification is to correct deficiencies, improve reliability and maintainability, or to improve capabilities.

National Security System (NSS)--Any telecommunications or information system (IS) operated by the U.S. Government, the function, operation, or use of which: 1) involves intelligence activities; 2) involves cryptologic activities related to national security; 3) involves command and control of military forces; 4) involves equipment that is an integral part of a weapon or weapons system; or 5) is critical to the direct fulfillment of military or intelligence missions (this does not include a system that is to be used for routine administrative and business applications, including payroll, finance, logistics, and personnel management applications). (AFPD 33-1, *Information Resources Management* (**Note:** For information assurance (IA) purposes only) pursuant to AFPD 33-2, *Information Assurance (IA) Program*), the term NSS also includes any telecommunications or IS that is protected at all times by procedures established for managing classified information.

Nonmaterial Solution--Includes changes in doctrine, operational concepts, tactics, training, or organization.

Responsible Officer--An individual appointed by proper authority to exercise custody, care, and safekeeping over property entrusted to his or her possession or under his or her supervision.

Systems Telecommunications Engineering Manager (STEM)--A communications and information systems engineer who provides technical engineering planning services in support of facilities and base infrastructures. The STEM-B has technical responsibility for engineering management and assists the base CSO in system engineering and configuration control. The STEM-C provides technical assistance to the MAJCOM and coordinates with STEM-Bs on future MAJCOM mission changes, programs and efforts at the MAJCOM-level. The STEM-J is assigned to combatant commands (COCOM), Joint Staff, and Defense Information Systems Agency (DISA) to promote interoperability by providing an interface between those activities and the Air Force MAJCOMs and bases. The STEM-TM assists the STEM-B and STEM-C. The STEM-D assists MAJCOMs on deployment issues.

Attachment 2**COMMUNICATIONS AND INFORMATION SYSTEMS OFFICER DUTIES****A2.1. MAJCOM CSO and information staff element will:**

A2.1.1. Plan, program, and budget for engineering, installation, operation, and maintenance of MAJCOM-unique systems and the command's portion of Air Force-wide systems.

A2.1.2. Use configuration management methods to ensure the integrity and interoperability of systems.

A2.1.3. Identify and collect new requirements and incorporate into MAJCOM and base communications and information systems blueprints in C4ISR Infrastructure Planning System (CIPS), as necessary.

A2.1.4. Coordinate planned requirements with the command-level systems telecommunications engineering manager (STEM-C).

A2.1.5. Approve subordinate base communications and information systems blueprints, ensure architectural compliance, proper classification, and functional support. Ensure appropriate information in the communications and information systems blueprints is provided to the civil engineer for use in the civil engineer's base comprehensive plans and military construction programs.

A2.1.6. Develop a process to review MAJCOM C&I requirements.

A2.1.7. Provide guidance and procedures to identify and communicate special engineering, installation, operations and/or maintenance requirements for NSS, Systems Applications and Products (SAP) or Sensitive Compartmented Information (SCI) or other federal agency systems utilized by their units to appropriate AF authorities (i.e. AFNETOPS/CC, Air Staff, I-NOSCs, etc.).

A2.2. Installation CSO will:

A2.2.1. Organize, train, and lead all unit assigned communications and information personnel.

A2.2.2. Meet wing's communications and information mission needs to include planning, organizing, and deploying communications and information systems to support the wing or its elements when they deploy.

A2.2.3. Ensure elements of the installation communications and information environment and infrastructure, including mobile assets, continue to satisfy customers' mission needs.

A2.2.4. Manage the installation level infrastructure, host systems, and tenant systems as defined in support agreements, and establish a installation systems integration function.

A2.2.4.1. Serve as the focal point for the installation's non-mission systems needs and as the accountable officer for all IT hardware equipment accounted for in their assigned Asset Inventory Management (AIM) Defense Reporting Activity (DRA).

A2.2.4.1. Appoint an Equipment Control Officer to oversee the installation AIM program IAW AFI 33-112, *Information Technology Hardware Asset Management*. A2.2.5. Plan the evolution of systems supporting the installation users' missions; ensure war, support, and contingency planning are accomplished for communications and information requirements.

A2.2.6. Develop communications and information annexes and appendices, contingency plans and support plans. Review and assist with the development of tenant plans involving communications and information resources or activities.

A2.2.7. Identify and collect communications and information systems infrastructure requirements and incorporate into the CIPS base communications and information systems blueprint, as necessary.

A2.2.8. Coordinate plans and requirements with the 38th Engineering Installation Group (EIG) assigned base-level STEM (STEM-B) to ensure incorporation into the CIPS base communications and

information systems blueprint that serves as the base's comprehensive communications and information planning and implementation document.

A2.2.9. Coordinate STEM-B visits with installation level Functional Area Managers (FAM).

A2.2.10. Coordinate the communications and information systems blueprint with the host wing and other tenant units. Ensure the communications and information systems blueprint in CIPS, the installation comprehensive plan, and military construction programs complement each other (see AFI 32-7062, *Air Force Comprehensive Planning*).

A2.2.11. If the wing commander delegates, serve as base-level approval authority for the Implementation Document and other requirements documents submitted for implementation of communications and information systems.

A2.2.12. Serve as the overall interface with the STEM-B to establish priorities and render decisions concerning the base communications and information infrastructure.

A2.2.13. Manage communications and information projects.

A2.2.14. Manage a master file of Communications and Information Systems Installation Records (CSIR) for installation supported systems or facilities.

A2.2.14.1. Appoint in writing a base CSIR manager.

A2.2.15. Develop and maintain CSIR administration and maintenance records for installation supported systems or facilities in accordance with AFI 21-404, *Developing and Maintaining Communications Information Systems Installation Records*.

A2.2.15.1. Develop and maintain CSIR drawing records in the CIPS Visualization Component (CVC) for installation supported systems or facilities in accordance with AFI 21-404, *Developing and Maintaining Communications Information Systems Installation Records*.

A2.2.16. Prevent or minimize electromagnetic interference and electromagnetic radiation hazards (see AFOSH 48-9, *Radio Frequency Radiation (RFR) Safety* and AFI 10-707, *Spectrum Interference Resolution Program*).

A2.2.17. Manage the installation frequency management program and follow the AF Spectrum Interference Resolution Program reporting procedures and guidance in AFI 10-707, *Spectrum Interference Resolution Program* (see paragraph 4.2).

A2.2.17.1. The CSO will appoint, a primary and alternate Installation Spectrum Manager (ISM) to organize and carry out the spectrum management program in accordance with AFI 33-118, *Electromagnetic Spectrum Management* and AFMAN 33-120, *Electromagnetic Spectrum Management* and forward appointment letter to Air Force Frequency Management Agency (AFFMA).

A2.2.18. Establish a focal point for determining installation level communications and information training and provide for customer support.

A2.2.19. Account for all IT assets according to AFI 33-112, *Information Technology Hardware Asset Management* (see paragraphs 4.3 and 4.4).

A2.2.19.1 AIM is the AF official accountable property system of record for IT hardware assets.

A2.2.20. Establish a single customer contact for automated systems and network problems, system administration requirements, and system and network protection requirements (such as an AF Network Control Center)(see AFI 33-115, Volume 1, *Network Management*).

A2.2.21. For installations with deployable wings, provide trained personnel and sufficient equipment to support the wing's deployable commitments.

A2.2.22. Establish a periodic wing-level planning forum to discuss current and future issues affecting the wing's communications and information infrastructure and the various systems it supports.

A2.2.23. Assign an individual as the Wing/Base Knowledge Operations Management (KOM) Functional Manager for accession, training, classification, utilization, and career development of

enlisted KOM (AFSC 3A0X1) personnel. These personnel operate in every functional area and, although most are not assigned to the communications unit, they are specialized extensions of the total capability for A6 to support the AF mission. A network of open communications is critical among these personnel at all levels.

A2.2.24. Provide training to all unit Telephone Control Officers (TCO) upon initial appointment by a unit commander or equivalent on TCO duties and responsibilities. Briefs all TCOs at least annually on policy changes and their duties and responsibilities.

A2.3. Tenant Unit CSO will:

A2.3.1. Serve as their single focal point and accountable officer for communications and information systems of their respective activities. Define specific tenant and base CSO responsibilities in a support agreement or similar document.

A2.3.2. Coordinate with the installation CSO to ensure their systems will integrate and interoperate, when necessary, with the Defense information infrastructure, and Air Force and host base systems.

A2.3.3. Identify to the host installation, MAJCOM and AFNetOps special engineering, installation, operation and/or maintenance requirements for NSS, SAP or SCI or other federal agency systems that are used by the tenant.

Attachment 3**SYSTEM/PROGRAM GUIDANCE****Information Assurance Programs**

AFI 33-201, Volume 1, *Communications Security (COMSEC)* (will become part of AFI 33-200, *Information Assurance (IA) Management*).

AFI 33-202, Volume 1, *Network and Computer Security* (will become part of AFI 33-200, *Information Assurance (IA) Management*).

AFI 33-202V6, *Identity Management* (will become AFSSI 8520, *Identification and Authentication*).

AFI 33-203, Volume 1, *Emission Security (EMSEC)* (will become part of AFI 33-200, *Information Assurance (IA) Management*).

IT Resource Management Programs

AFI 33-111, *Voice Systems Management*.

AFI 33-112, *Information Technology Hardware Asset Management*

AFI 33-113, *Managing Air Force Messaging Centers*

AFI 33-114, *Software Management*

AFI 33-118, *Electromagnetic Spectrum Management* and AFMAN 33-120, *Electromagnetic Spectrum Management*

AFI 33-134, *Mobile Satellite Services Management*

Information Management Programs

AFI 33-129, *Web Management and Internet Use*

AFI 33-322, *Records Management Program*

AFI 33-324, *The Information Collections and Reports Management Program; Controlling Internal, Public, and Interagency Air Force Information Collections* (will become part of AFI 33-394, *Knowledge, Information and Data Management*

AFI 33-329, *Base and Unit Personnel Locators*

AFI 33-332, *Privacy Act Program*

AFI 33-393, *Electronic and Information Technology Accessible to Individuals with Disabilities, Section 508*

DoDM 4525.8_AFMAN 33-306, *DOD Official Mail Manual*

DoD 5400.7-R/Air Force Supplement, *DoD Freedom of Information Act Program*